

04/26/01



Jc685 U.S. PTO

4-27-07


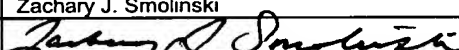
A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 47089-00051	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor Neil D. Nicastro	
		Title AMUSEMENT GAME HAVING A PROBABILITY-BASED AWARD SYSTEM	
Express Mail Label No. EL722095684US			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 35] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D Invention</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 7]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Unexecuted) [Total Pages 3]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>	
		<b>Accompanying Application Parts</b>	
		<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other</p>	
<b>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</b>			
<p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____/____, filed</p> <p>Prior application Information: Examiner ____ Group/Art Unit: ____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
<b>18. CORRESPONDENCE ADDRESS</b>			
<p><input checked="" type="checkbox"/> Customer Number or Bar Code Label  or <input type="checkbox"/> New correspondence address below</p> <p>23932</p> <p>PATENT TRADEMARK OFFICE (Insert Customer No. or Attach bar code label here)</p>			
NAME	Daniel J. Burnham		
ADDRESS	Jenkins & Gilchrist 1445 Ross Avenue, Suite 3200		
CITY	Dallas	STATE	TX
COUNTRY	USA	TELEPHONE	312 425-3900
		FAX	214 855-4588
Name (Print/Type)	Zachary J. Smolinski	Registration No. (Attorney/Agent)	47,100
Signature		Date	April 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

CHICAGO 165255v1 47089-00051

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b> 47089-00051
	<b>First Inventor</b> Neil D. Nicastro
	<b>Title</b> AMUSEMENT GAME HAVING A PROBABILITY-BASED AWARD SYSTEM
	<b>Express Mail Label No.</b> EL722095684US
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<div><div><div>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (Submit an original, and a duplicate for fee processing)</div><div>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>35</u>] (preferred arrangement set forth below)<ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D Invention</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul></div><div>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total Sheets <u>7</u>]</div><div>5. <input checked="" type="checkbox"/> <b>Oath or Declaration (Unexecuted)</b> [Total Pages <u>3</u>]<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul></li></ul></div><div>6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</div></div><div><div>7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></div><div>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul></li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul></div></div></div>	
<b>Accompanying Application Parts</b>	
<div>9. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))</div> <div>10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> <input checked="" type="checkbox"/> <b>Power of Attorney</b> (when there is an assignee)</div> <div>11. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</div> <div>12. <input type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b></div> <div>13. <input type="checkbox"/> <b>Preliminary Amendment</b></div> <div>14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized)</div> <div>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> (if foreign priority is claimed)</div> <div>16. <input type="checkbox"/> <b>Other</b> .....</div>	

**17. If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an



Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_, filed

Prior application Information: Examiner \_\_\_\_ Group/Art Unit: \_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				or <input type="checkbox"/> New correspondence address below	
		23932			
		PATENT TRADEMARK OFFICE (Insert Customer No. or Attach bar code label here)			
<b>NAME</b>	Daniel J. Burnham				
<b>ADDRESS</b>	Jenkins & Gilchrist 1445 Ross Avenue, Suite 3200				
<b>CITY</b>	Dallas	<b>STATE</b>	TX	<b>ZIP CODE</b>	75202-2799
<b>COUNTRY</b>	USA	<b>TELEPHONE</b>	312 425-3900	<b>FAX</b>	214 855-4588
<b>Name (Print/Type)</b>	Zachary J. Smolinski	<b>Registration No. (Attorney/Agent)</b>	47,100		
<b>Signature</b>				<b>Date</b>	April 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL****Complete if Known**

Application Number	
Filing Date	April 26, 2001
First Named Inventor	Neil D. Nicastro et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	47089-00051

TOTAL AMOUNT OF PAYMENT (\$ ) 1674.00

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **10-0447/47089-00051**

Deposit Account Name **Jenkins & Gilchrist**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION (fees effective 11/10/98)****1. FILING FEE**

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ ) 710.00</b>

**2. CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims <u>38</u> - 20 =	<u>18</u>	X <u>18.00</u> =	<u>324.00</u>
Independent <u>11</u> - 3 =	<u>8</u>	X <u>80.00</u> =	<u>640.00</u>
Claims			

Multiple Dependent Claims \_\_\_\_\_ X \_\_\_\_\_ = 0.00

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ ) 1674.00</b>

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_


Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$ ) 1674.00**

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name	Zachary J. Smolinski	Reg. Number	47,100
Signature		Date	04/26/2001
		Deposit Acct. User ID	10-0447/47089-00051